

engineers newsletter

providing insights for today's hvac system designer

green, growing, here to stay Energy and Environmental Initiatives

We know that clean air and soil, pure water, food reserves, and energy sources like fossil fuels and sunlight are fundamental to life on this planet. We also know that our way of life—the buildings we live in, the vehicles we drive, the modern conveniences on which we rely—jeopardizes these finite resources. But it is only recently that concerns about the environment have taken center stage in the political arena, both nationally and internationally.

This *EN* recaps the cause of these concerns and highlights several of the most prominent North American initiatives to address them. It also offers a "shortlist" of resources for more information and offers suggestions to help you do your part.

An Industrial Legacy

Mass production, widespread use of power-driven machinery, and urbanization—with the accompanying construction of buildings, transportation systems, and commercial agriculture characterized the Industrial Revolution and laid the foundation for economic growth in developed countries. The resulting prosperity was "fueled" by the consumption of significant amounts of energy, most of it produced by burning coal and other fossil fuels. The consequences, pollution and resource depletion, have been cumulative and far-reaching. One result of industrialization is a significant increase in the emission of greenhouse gases (GHG), particularly carbon dioxide. Some experts fear that the unnaturally high concentrations of GHG are "trapping excess heat in the Earth's atmosphere in much the same way that a windshield traps solar energy that enters a car." 1 The resulting global warming—one estimate is 2.5°F to 10.4°F (1.4°C to 5.8°C) over the next century-may affect weather patterns, sea levels, agricultural zones, and the quality of life for future generations.² Although these outcomes are uncertain, they are serious enough to garner the attention of governments and politicians.

Target: Energy Use

Commercial buildings account for more than one-third of the electricity consumed in the United States. About 70 percent of that supply is generated by burning nonrenewable fossil fuels, a process that releases billions of pounds of carbon dioxide into the atmosphere annually. That's why many of the public and private initiatives to preserve the environment focus on improvements in energy efficiency. After all, better efficiency reduces GHG emissions; it also lowers energy/operating costs, which ultimately improves competition in the global marketplace.

"Energy bills for existing U.S. commercial space (about 78 billion square feet) total \$110 billion annually. EPA estimates that increasing the energy efficiency of this space could save more than \$25 billion." ~*ENERGY STAR Building Manual*

To date, the Kyoto Protocol is

the broadest initiative to address climate change. Instituted in 1997, the protocol sets a range of reductions for greenhouse gas emissions that averages just over 5 percent for industrialized nations. The terms encourage participating nations to devise domestic policies and fiscal measures that discourage emissions and promote the best current and future technologies. Upon ratification by a sufficient number of developed countries, the Kyoto Protocol will become a legally binding treaty with enforcement commencing in 2012.

U.S. response. Rather than ratify the Kyoto Protocol, the United States is pursuing its own Clear Skies initiative and Global Climate Change strategy. If successful, the **Clear Skies** initiative will cut power plant emissions of nitrogen oxides, sulfur dioxides, and mercury by 70 percent. The complementary **Global Climate Change** strategy seeks to reduce the *intensity* of GHG emissions (the ratio of

¹ J. Weier, "Global Warming," *Earth Observatory*, 8 Apr 2002, NASA. [online; cited 18 Jul 2003] <http://earthobservatory.nasa.gov/Library/ GlobalWarming/>

² Understanding Climate Change: A Beginner's Guide to the UN Framework Convention and Its Kyoto Protocol, July 2002, UN Environment Program and Climate Change Secretariat. [online; cited 24 Sep 2003] <http://unfccc.int/resource/ beginner_02_en.pdf>



emissions to economic output) by 18 percent over the next 10 years.³ To achieve these goals, the federal budget for fiscal year 2003 allocated more money for global climate changerelated activities, including tax credits for renewable energy sources.

"HVAC and refrigeration systems account for 35 to 65 percent of the energy used in U.S. buildings." ~ASHRAE Energy Position Document

Impact on the building industry.

Government initiatives often become policies or codes that affect how goods and services are built and delivered, and in the case of environmental initiatives, how buildings are constructed, used, operated, maintained, and demolished.

For example, the Energy Policy Act of 1992 requires states to certify that their energy codes meet or exceed the requirements of ASHRAE Standard 90.1-1989, Energy Standard for Buildings Except Low-Rise Residential Buildings. In July 2001, the DOE made a determination requiring all states to update their energy codes to be at least as stringent as ASHRAE 90.1-1999 by July 15, 2004. Much of the 1999 version of the standard is used by the International Code Council (ICC) in its International Energy Conservation Code (IECC), which addresses the design of energy-efficient building envelopes and the installation of energy-efficient mechanical, lighting, and power systems. As of July 2003, 37 states and the District of Columbia have adopted a version of the IECC or its predecessor, the Model Energy Code.⁴

The U.S. Department of Energy (DOE) is working with ASHRAE and the ICC to further strengthen the nation's model energy codes through the **Building Energy Codes Program**. Under this program, DOE provides the financial and technical assistance necessary to help states adopt, implement, and enforce building energy codes. DOE also develops and distributes easy-touse tools and materials to clarify commercial and high-rise residential energy code compliance.

ENERGY STAR. Perhaps the bestknown national promotion for energy efficiency is the **ENERGY STAR®** program. Administered by the Environmental Protection Agency and (since the mid-1990s) DOE, ENERGY STAR relies on the voluntary partnership between government, business, and consumers. The program initially recognized energy-efficient computers but now rates more than 35 product categories, as well as new homes and buildings.

What does ENERGY STAR mean for businesses and consumers? Residential and commercial products bearing the ENERGY STAR label are 10 to 25 percent more efficient than required by federal standard, while providing top performance and innovative features.

"In 2002 alone, ENERGY STAR-qualifying buildings spent \$130 million less in energy bills and reduced carbon dioxide emissions by 2.6 billion pounds compared to average buildings." *~EPA National News, 09 May 2003*

The savings are even more dramatic for ENERGY STAR-labeled buildings, which typically are 20 to 40 percent more efficient than average buildings of comparable size and use. To help put this savings into perspective, it costs \$1.90 to \$3.00 per square foot to operate an average U.S. office building; an ENERGY STAR office building costs \$0.86 *less* to operate.⁵ Under ENERGY STAR, the EPA and DOE provide building designers, facility managers, and business owners/ operators with various software tools, free of charge, to aid performance evaluations and goal-setting. For example, *Portfolio Manager* tracks and benchmarks a building's energy use before and after upgrades, while *Target Finder* provides architects and building designers with an energy budget for new construction and major retrofits. There's also a *Financial Value Calculator* that estimates increased earnings from energy reductions.

"Every dollar invested in an energyefficient upgrade can produce between \$2 and \$3 in increased asset value." ~*ENERGY STAR Building Manual*

Another invaluable resource is the ENERGY STAR Building Manual (www.energystar.gov/ia/business/ bum.pdf). Following the manual's integrated, five-step approach to building upgrades can produce energy savings of 35 percent or more and yield a return on investment of 20 percent with comparatively low risk. The success of this approach hinges on the sequence of the steps: First, reduce the building's heating, cooling, and electrical loads (recommission, upgrade lights, reduce supplemental loads). Then upgrade the HVAC system so that the equipment can be properly sized to handle the smaller loads.

Rebuild America. The DOE administers the **Rebuild America** program, which was created in 1994 to help communities accelerate energyefficiency improvements in five categories of existing buildings: commercial; state and local government; public and multifamily



³ "Executive Summary-The Clear Skies Initiative," 14 Feb 2002, White House. [online; cited 22 Sep 2003] <http://www.whitehouse.gov/ news/releases/2002/02/20020214.html>

⁴ "Commercial Energy Code Status," Jul 2003, Building Codes Assistance Project. [online; cited 09 Oct 2003] http://www.bcap-energy.org/ commap_0703.pdf>

⁵ The Top Performing Buildings in America at a Glance [online], US EPA. [online; cited 22 Sep 2003] http://www.energystar.gov/ia/business/bus_factsheet.pdf>



housing; colleges and universities; and K–12 schools.

With the help of state energy offices, the program seeks to increase the number of high-performance buildings, and to help partnerships implement community-wide improvements in energy efficiency and renewable energy by:

Establishing energy-saving goals and determining how much to invest

- Determining the number and type of buildings to retrofit
- Developing and implementing an action plan
- Arranging financing
- Managing building retrofits
- Tracking building energy performance

Renovating the nation's K–12 schools is a particular priority of Rebuild America. The average age of these buildings is 42 years, and many of them are equipped with inefficient systems that, according to the DOE, result in annual energy costs of \$6 billion ... about 25 percent higher than necessary.⁶ Consequently, this sector also is the target of the **EnergySmart Schools** program, which works with school districts to introduce energy-saving improvements to the physical environment and proactively promote energy education in schools.

According to the nonprofit Sustainable Buildings Industry Council, school districts can save 30 to 40 percent on utility costs each year for new schools and 20 to 30 percent on renovated schools by applying high-performance design and construction concepts.⁷

The Bigger Picture

"High-performance" building practices look beyond the energy costs of operating a building. Alternatively dubbed as "green," "whole building," or "sustainable," high-performance buildings attempt to optimize cradle-tograve performance of the entire building. Economic, environmental, and sociocultural effects receive as much weight as operating costs and initial investment. Performance goals for the building address occupant productivity, comfort, and well-being, along with the use of land, energy, and materials. Waste production and transportation are considered, as is the adaptability of the building over time.

"Buildings are long-lived, so built-in energy efficiencies can remain for 50 years or more. While it is often economical to retrofit buildings for greater energy efficiency, it is always cheaper to make them more efficient at the time they are designed and constructed." ~*New Buildings Institute*

Realizing such ambitious goals requires a very different approach to the building process—one that is collaborative, integrated, and comprehensive rather than fragmented and linear. The predesign phase for a highperformance building brings together planners, architects, engineers, landscapers, hydrologists, builders, facility managers, and building users. Working together, they set the purpose, scope, and performance goals for the building. Subsequent design decisions are based on their effect on the *entire* building rather than a single component. For example, meeting the building goal for energy efficiency requires more than the selection of energy-efficient equipment. It also entails consideration of siting, envelope, window placement, glazing methods, and shading.

The results of the whole-building design process are compelling. According to DOE, benefits include:

- Reductions in energy use of
 50 percent or more
- Reduced maintenance and capital costs
- Reduced environmental impact
- Increased occupant comfort and health
- Increased employee productivity⁸

Several obstacles must be overcome before these benefits will be realized on a widespread basis. Stimulating commercial demand requires a welldocumented economic case, financial incentives, and code changes that favor high-performance buildings. New process models and tools that support collaborative decision-making must be developed, along with valid performance metrics.

LEED. The U.S. Green Building Council, a building industry coalition, is attempting to eliminate these hurdles through its LEED Green Building Rating System[™]. LEED, which stands for Leadership in Energy and Environmental Design, is a voluntary national standard for developing highperformance, sustainable buildings. In addition to defining common metrics for "green building," the LEED system offers third-party project certification,

⁶ EnergySmart Schools, U.S. DOE. [online; cited 6 Jul 2003] http://www.rebuild.org/sectors/k12.asp

⁷ Return on Investment: High Performance Buildings, Jan 2002, Minnesota Planning. [online; cited 18 Sep 2003] <http:// www.mnplan.state.mn.us/pdf/2002/ buildingsreport.pdf>

⁸ "Design Approach," 29 May 2003, U.S. DOE Office of Energy Efficiency and Renewable Energy. [online; cited 30 Sep 2003] <http:// www.eere.energy.gov/buildings/ highperformance/design_approach.html>

professional accreditation, training, and resources.

The comprehensive, point-based system encourages building professionals to practice integrated, whole-building design. Rating categories assess the extent to which a project meets the sustainability goals for site development, water savings, energy efficiency, materials selection, and indoor environmental quality.

"Commercial new construction can achieve 50 percent energy savings if an integrated design approach and energy strategies are carefully implemented." ~ESource

Since the system was introduced in 2000, nearly 3 percent (91 million square feet) of all new commercial construction projects in the United States have registered for LEED certification. (To date, fewer than 75 of these projects have completed the certification process.) Projects range from manufacturing plants and convention centers to firehouses and schools, and are scattered across 50 states and nine countries.

States such as New York, Maryland, and Oregon, now offer tax credits for LEED-certified buildings to encourage expansion of green building practices. Local governments are getting into the act, too: Portland (OR) and Seattle (WA) offer grants for energy modeling, commissioning, and related costs.⁹

Meanwhile, USGBC is attempting to broaden the use of LEED by developing versions for commercial interiors, existing buildings, new core-and-shell construction, and homes.

What Can You Do?

Making commercial buildings more energy- and resource-efficient represents an enormous opportunity to save money and reduce pollution. Capitalizing on this opportunity will require public and private sector commitment, policy changes, and investments in research and development. It will also require members of the building industry to practice their professions collaboratively and holistically.

The challenge is enormous but not impossible ... provided that all of us do our part.

- Become familiar with whole-building design practices, and visit "10 Shades of Green" at www.archleague.org/ tenshadesofgreen, for examples of what's possible.
- Take advantage of the training and resources that are available through ENERGY STAR and LEED. If you haven't already done so, consider becoming a

member of your local LEED chapter (www.usgbc.org/Chapters/ chapters_main.asp).

- Contact your state energy office and local utilities to find out what incentives exist for energy-efficient and/ or high-performance building designs.
- Critically review product data to assure that life-cycle performance aligns with design goals.
- Help your clients focus on the longterm effects of their design decisions that means environmental as well as financial. Consider the entire building from a life-cycle perspective, and aim to minimize overall environmental impacts while optimizing performance.
- Stay informed about the green building movement and its effect on standards and codes. The Internet makes it easy. (See the end of this *EN* for a shortlist of places to start.)
- Incorporate a few meaningful "green/sustainable" selections in every design.

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⁹ Building Momentum: National Trends and Prospects for High-Performance Green Buildings, Feb 2003, U.S. Green Building Council. [online; cited 24 Sep 2003] <http://www.rebuild.org/ attachments/pdf/buildingmomentum.pdf>



Shortlist of North American energy and high-performance-building initiatives

Why it was created, what it does, who it targets
why Help building designers improve energy and resource efficiency of commercial, industrial, and multi-unit residential buildings
what Guide to 90+ environmentally appropriate technologies and practices for indoor air quality, water conservation, waste management, electricity production, nontoxic and recycled materials, daylighting, and energy efficiency
for Architects, engineers, building managers
why Promote energy efficiency worldwide to achieve a healthier economy, a cleaner environment, and energy security
what Research; educational programs; policy advocacy; design and implementation of energy efficiency projects; promotion of technology development and deployment; partnership-building between public and private sectors in the U.S. and other countries
for Businesses, trade associations
why Reduce reliance on imported energy, reduce exposure to volatile energy prices, improve the economy, help protect the environment
what In-depth technical and policy assessments; policy advocacy; educational programs for businesses and consumers; publications
for Architects; builders; financial and insurance professionals; manufacturers; building owners and operators; engineers; government agency personnel; energy researchers; consultants
why Maintain consistent national energy efficiency requirements for residential appliances and commercial equipment
what Test procedures for measuring energy efficiency and energy use; product labeling (estimated annual operating cost); rules for energy efficiency standards
for Product manufacturers, designers, utilities, government agencies, retailers, consumers
why Provide guidance on how to apply green design techniques
what Reference document (product code 90320) containing practical information on the design and operation of environmentally friendly buildings. Available by Dec 2003. Order from ASHRAE's online bookstore, or by telephone: 800-527-4723 (U.S. and Canada) or 404-636-8400 (worldwide)
for HVAC&R designers
why Serve the evolving needs of the public by advancing the arts and sciences of heating, ventilation, air conditioning, refrigeration, and related human factors
what National consensus standard that provides minimum requirements for the energy-conserving design of commercial buildings and building systems for Engineers, design professionals, government jurisdictions, code-writing bodies
why Improve energy efficiency of U.S. buildings through new technologies and better building practices
what Resource for: information on national model energy codes; financial and technical assistance to
help states adopt, implement, and enforce those codes; tools and materials to assist with compliance for Government agencies, state and local jurisdictions, national code organizations, industry
why Help companies protect the environment while increasing profits and competitiveness by reducing overhead costs, raising customer satisfaction, and negotiating better energy deals
what Comprehensive energy management: planning guidance, diagnostic software, benchmarking tools and performance ratings, project support; public relations opportunities; technical information
for Manufacturers, retailers, utilities, schools, businesses
why Reduce cost and environmental impact of federal government by advancing energy efficiency and water conservation, promoting use of distributed and renewable energy, and improving utility management decisions at federal sites
what Services (financing), technical assistance (including analytic software tools), outreach (communication, recognition), policymaking (guidance and interagency management)
for Facility managers, energy managers, federal agencies
why Improve the performance of facilities over their entire life cycle, from planning to disposal
what Source for information on federal facilities-related programs and standards; jointly sponsored studies and research related to all aspects of facilities management
for Federal agencies; building professionals involved in planning, designing, constructing, operating, maintaining, managing, and/or disposing of buildings and other constructed facilities

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Shortlist of North American energy and high-performance-building initiatives (continued)

Program/initiative and sponsoring agency	Why it was created, what it does, who it targets
Green Seal www.greenseal.org	why Encourage purchase and production of environmentally responsible products and services
	what Product and property certification; purchasing guidance (including product/purchasing evaluations and recommendations); policy advocacy; environmental standards development
	for Manufacturers; institutional, governmental, and corporate purchasers
High Performance Buildings www.eere.energy.gov/buildings/	why Reduce energy consumption of commercial buildings while improving quality, comfort, and cost- effectiveness
highperformance U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy	what Design approach; toolbox (software, technical data, charrette process); technologies (energy efficiency and solar); research (technical evaluation, building performance, communication/project documentation, process change, performance metrics)
	for Design professionals, architects, builders, contractors, owners
International Energy Conservation Code www.iccsafe.org	why Promote consistent code enforcement and higher quality construction by developing a single set of comprehensive, coordinated national model construction codes
International Code Council	what Energy efficiency provisions for residential and commercial buildings; prescriptive- and performance-based approaches to energy-efficient design; building envelope requirements for thermal performance and air leakage
	for Code enforcement officials, architects, engineers, designers, contractors, manufacturers
International Initiative for a Sustainable Built Environment http://iisbe.org	why Facilitate and promote adoption of policies, methods, and tools to accelerate the movement towards a global, sustainable built environment
http://iisbe.org	what Research and development of environmental performance assessment systems for buildings for Researchers, policymakers, building professionals
LEED Green Building Rating System™ www.usgbc.org U.S. Green Building Council	why Establish a common standard of measurement; promote integrated, whole-building design practices; recognize environmental leadership in the building industry; stimulate green competition; raise consumer awareness of green building benefits; transform the building market
	what Voluntary, consensus-based national standards for developing high-performance, sustainable buildings (new construction and major renovation projects, existing building operations, commercial interiors projects); project certification; professional accreditation; training; practical resources
	for Architects, engineers, project managers, building owners
Natural Resources Canada's Office of Energy Efficiency http://oee.nrcan.gc.ca	why Promote energy efficiency and use of alternative fuels in the residential, commercial, industrial, and transportation sectors of the Canadian economy
	what Management of 17 programs that provide financial incentives, rating/labeling systems, minimum efficiency performance, consulting services, information publications
	for Consumers, businesses, governments, institutions
New Building Institute www.newbuildings.org	why Promote energy efficiency in buildings through policy development, research, guidelines, codes
	what Publications; energy-related research; policy advocacy; codes and standards development
	for Government policy analysts, utility program managers, architects, mechanical and lighting designers, engineers, facility managers, building owners and financiers
Rebuild America www.rebuild.org www.energysmartschools.gov	why Improve quality of life in communities through energy solutions for K–12 schools (EnergySmart Schools), local and statement governments, colleges and universities, public and multifamily housing, and commercial buildings
U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy	what Business, financing, and technical tools (guides, handbooks, software, workshops, referrals to state and local resources); guidance for building retrofit projects; publicity
	for Architects, engineers, design professionals, contractors, facility planners/managers, municipal authorities, financing experts, energy service providers, technology developers, manufacturers
Smart Communities Network www.sustainable.doe.gov	why Assure ample supplies of clean, affordable energy and promote cutting-edge technologies that aid sustainable development efforts
U.S. Department of Energy's Energy Efficiency and Renewable Energy Network	what Menu of information and services to help communities adopt sustainable development
	for Community leaders; state and local governments
Sustainable Building Industry Council www.sbicouncil.org	why Promote energy-conscious, solar-enhanced, integrated buildings (residential, institutional, commercial) that, along with the products and services that go into them, are affordable and environmentally sound
	what Professional training, consumer education, energy analysis tools, consulting services
	for Architects, engineers, contractors, manufacturers, utilities
Whole Building Design Guide www.wbdg.org	why Help building professionals improve the performance and quality of their buildings
National Institute of Building Sciences, et al.	what Web-based portal that provides one-stop access to up-to-date guidance, criteria, and information related to whole-building design and project management
	for Architects, engineers, project managers